

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

YAM MEI MEI

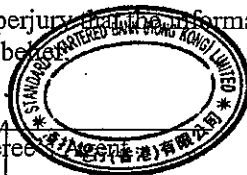
CHAN KA WAI  
Name of Transferor

Court Claim # (if known): 39445  
Amount of Claim: USD 70,000  
Date Claim Filed: 13 OCT 2009

Phone: 27794483  
Last Four Digits of Acct. #: 0799

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee (Transferee)



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### **~ DEADLINE TO OBJECT TO TRANSFER ~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

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transfer, other than for security, of the claim referenced in this evidence and notice

*Yam Sau Wah*

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 37853

Amount of Claim: USD 128464

Date Claim Filed: 13 OCT 2009

Phone: /

Last Four Digits of Acct. #: 6297

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *Platini*  
Transferee/Transferor Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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In re Lehman Brothers Holdings Inc. et al., Debtors,

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

YAU PAK TSAN RICHARD  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 37863  
Amount of Claim: USD 64,232.1  
Date Claim Filed: 13 OCT 2009

Phone: 28810357  
Last Four Digits of Acct. #: 7497

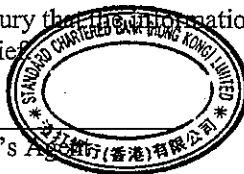
Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent



Date: \_\_\_\_\_

- 4 MAY 2011

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Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

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### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~DEADLINE TO OBJECT TO TRANSFER~

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In re Lehman Brothers Holdings Inc. et al., Debtors,

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

*Yau Yuk Kuen Miriam*

Name of Transferor

Court Claim # (if known): 37814  
Amount of Claim: USD 100,000  
Date Claim Filed: 13 Oct. 2009

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: 9988

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *[Signature]*  
Transferee/Transferor Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### DEADLINE TO OBJECT TO TRANSFER

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Date: \_\_\_\_\_

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

*Yau Yuk Kuen Mirian*

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Court Claim # (if known): 37815

Amount of Claim: USD 128,464

Date Claim Filed: 13 Oct 2009

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: 9988

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### DEADLINE TO OBJECT TO TRANSFER

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Date: \_\_\_\_\_

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

YEUNG SING TUNG

Name of Transferor

Court Claim # (if known): 47859  
Amount of Claim: USD 205,542.-  
Date Claim Filed: 27 OCT 2009

Phone: 852 - 24618633  
Last Four Digits of Acct. #: 8968

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: P. J. J. J.  
Transferee/Transferor's Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

YU FOOK NGONG

Name of Transferor

Court Claim # (if known): 38739  
Amount of Claim: USD 64,232.  
Date Claim Filed: 13 OCT 2009

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: 1143

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief

By: Peggy  
Transferee/Transferor's Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_, District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

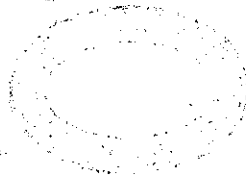
\_\_\_\_\_  
Address of Transferee:

#### ~DEADLINE TO OBJECT TO TRANSFER~

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Date: \_\_\_\_\_

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CLERK OF THE COURT



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

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transfer, other than for security, of the claim referenced in this evidence and notice

*Wong Man Kit*

Standard Chartered Bank (Hong Kong) Limited.  
Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 47523

Amount of Claim: USD 102,771-

Date Claim Filed: 27 OCT 2009

Phone: 852-1211 2680

Last Four Digits of Acct. #: 5416

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: *P. K. Fung*  
Transferee/Transferor's Agent



Date: - 4 MAY 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### DEADLINE TO OBJECT TO TRANSFER

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Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Long King Hing  
Yu Min Chu  
Name of Transferor

Court Claim # (if known): 38149  
Amount of Claim: USD 128464-  
Date Claim Filed: 13 OCT 2009

Phone: 64983328  
Last Four Digits of Acct. #: 6114

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferor's Agent



- 4 MAY 2011

Date: \_\_\_\_\_

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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\_\_\_\_\_ District Of \_\_\_\_\_

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### DEADLINE TO OBJECT TO TRANSFER

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transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Wong Wing Hung  
Yu Min chu  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong  
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Court Claim # (if known): 38150  
Amount of Claim: USD 64232 -  
Date Claim Filed: 13 OCT 2009

Phone: 64983378  
Last Four Digits of Acct. #: 6114

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferor's \_\_\_\_\_



Date: - 4 MAY 2011

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~DEADLINE TO OBJECT TO TRANSFER~

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Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT

